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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit 2173

Examiner Unknown

01-23-03

In Re: Srihari Kumar et al.
Case: P3962
Serial No.: 09/826,613
Filed: 04/04/2001
Subject: Interactive Financial Portfolio Tracking Interface

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To: The Commissioner of Patents and Trademarks
Washington, D.C. 20231

Dear Sir,

Information Disclosure Statement Under 37 C.F.R. 1.97

Enclosed herewith is an Information Disclosure Statement (IDS) by Applicant listing three (3) references received from the PCT International Search Report (copy enclosed) in case PCT/US02/07605, mailed December 18, 2002. Applicant desires that the reference be entered in the above referenced case as relevance dictates.

As prosecution has not begun in this case, in accordance with rule 1.97(b)(3), no additional fee is required. Please charge any deficiency to Deposit Account No. 50-0534.

Respectfully submitted,

Srihari Kumar et al.
by

Donald R. Boys
Reg. No. 35,074

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PTQ/SB/08A (10-01)

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/826,613
				Filing Date	04/04/2001
				First Named Inventor	Srihari Kumar
				Art Unit	2173
				Examiner Name	Unknown
Sheet	1	of	1	Attorney Docket Number	P3962

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Examiner Signature	Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Date of Deposit: 01/09/2003

Ref: Case Docket No.: P3962

First Named Inventor: Srihari Kumar

Serial Number: 09/826,613

Filing Date: 04/04/2001

Title of Case: Interactive Financial Portfolio Tracking Interface

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I hereby certify that the attached papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and addressed to the Commissioner of Patents and Trademarks, Washington D.C. 20231

1. Information Disclosure Statement.
2. Cover Letter for Information Disclosure Statement.
3. Copies of three (3) publications cited in the IDS.
4. Copy of an international search report in case PCT/US02/07605.
5. Certificate of express mailing.
6. Postcard listing contents.

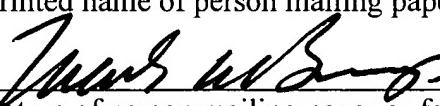
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